

PATENT  
File No. 12350.0010.NPUS00

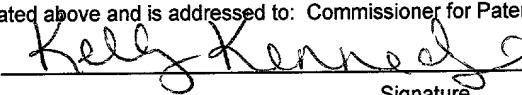
**APPLICATION FOR UNITED STATES LETTERS PATENT**

for

**CHEMICAL MECHANICAL MACHINING AND SURFACE FINISHING**

by

**Mark Michaud, Gary Sroka and Lane Winkelmann**

|  |               |                     |
|--|---------------|---------------------|
| EXPRESS MAIL MAILING LABEL   |               |                     |
| NUMBER   | EL830941698US | Albury,<br>Dec 2002 |
| DATE OF DEPOSIT  |               |                     |
| I hereby certify that this paper or file is being deposited with the United States Postal Service<br>"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date<br>indicated above and is addressed to: Commissioner for Patents, Washington D.C. 20231. |               |                     |
| <br>Signature  |               |                     |

## **BACKGROUND OF THE INVENTION**

Conventional mechanical machining is a highly aggressive process. No matter how much care and vigilance is taken, this process almost always results in metallurgical damage, if even only at the microscopic level, due to the application of highly concentrated forces and concomitant localized high temperature spikes. Such damage can include microcracks, the introduction of stress raisers, oxidation, phase change and a reduction in beneficial residual compressive stress and microhardness. The grinding process, for example, can generate sufficient heat to temper the surface of a hardened workpiece, often referred to as grinding burn, thus reducing the workpiece's wear and contact fatigue properties. In addition, conventional mechanical machining always produces burrs and machine lines. These residual burrs and machine lines are stress raisers that must be removed from critical surfaces in order to reduce wear, friction, operating temperature, scuffing, contact fatigue failure (pitting), and/or various dynamic fatigue failures such as bending, torsional and axial fatigue.

Besides metallurgical damage to the workpiece, conventional machining operations have an inherent limitation in producing workpieces with extremely high dimensional precision and accuracy. As mentioned previously, mechanical machining involves the aggressive shearing of metal from a workpiece by a tool that moves with a high speed and/or high force. Thus, tool wear is intrinsic to the process. Maintaining workpiece-to-workpiece dimensional precision and accuracy, however, relies on the ability to maintain dimensional stability of the tool. Tool wear becomes extremely problematic as the hardness of the workpiece increases to 40 HRC and greater. Gears and bearings, for example, are typically hardened to 55-65 HRC or higher.

The machine that guides the cutting tool has its own inherent set of limitations that inhibit high precision and accuracy. Some limitations of the mechanical devices moving the tool include geometric errors, feed rate errors, drive wear, vibration, and hysteresis, to name a few. The machines are usually massive in size so as to maintain the required rigidity to accurately apply the high forces that are necessary to remove metal especially from hard workpieces. Significant thermal distortions and structural deflections caused by the cutting load can also be problematic, especially for delicate workpieces.

1 In addition to machine lines, the forces applied to effect the aggressive cutting  
2 action of the tool also generate vibrations that lead to chatter. Chatter and machine lines  
3 are typically reduced by a multiple step process. For example, in the case of a high  
4 quality gear, the gear must be ground, and then honed to reduce the chatter and machine  
5 lines generated by machining. In the absence of extreme care, the grinding and honing  
6 processes can cause severe metallurgical damage to the critical contact surface of  
7 workpieces. Workpiece quality can only be ensured by costly 100% inspection.

8 The importance of a smooth surface finish cannot be overemphasized, particularly  
9 for metal-to-metal contact workpieces such as gears, bearings, splines, crankshafts, and  
10 camshafts, to name a few, that often have machine or grind lines or other surface  
11 imperfections that are very difficult to remove. For these workpieces, the asperities can  
12 increase friction, noise, vibration, wear, scuffing, pitting, spalling, operating temperature,  
13 and impair lubricity. For load-bearing articles, machine lines on the surface can provide  
14 an initiation point for fatigue fractures in workpieces that are subjected to fluctuating  
15 stresses and strains. As a result, there is a serious need to remove stress raisers caused by  
16 conventional machine lines.

17 One method of surface finishing such workpieces is to machine the surfaces by  
18 conventional multi-step, successively finer grinding, honing and lapping. Attaining a  
19 ground surface with a <2 microinch  $R_a$  requires time, multiple steps and state of the art  
20 technology. A complex surface geometry calls for expensive and highly sophisticated  
21 machinery, expensive tooling and time consuming maintenance. In addition to the cost,  
22 this process produces directional lines and the potential for tempering and microcracks  
23 that damage the integrity of the heat treated surface. As previously discussed, a quality  
24 workpiece requires costly 100% inspection of the ground and hardened surface with a  
25 technique such as nital etching. Another shortcoming of this approach is the possibility  
26 of abrasive particles being impregnated into the surface resulting in stress raisers,  
27 lubricant debris and/or wear.

## 28 SUMMARY OF THE INVENTION

29 The invention described herein discloses a chemical mechanical machining and  
30 surface finishing process. An active chemistry is reacted with the surface of a workpiece  
31 so that a soft conversion coating is formed on the surface of a workpiece. The conversion

1 coating is insoluble in the active chemistry in that it protects the basis metal of the  
2 workpiece from further chemical reaction with the active chemistry. The conversion  
3 coating is removed from the workpiece via relative motion with a contact tool, thereby  
4 exposing fresh metal for further reaction with the active chemistry, which allows the  
5 conversion coating to reform on the workpiece.

6 Low mechanical forces are used to remove the conversion coating from the  
7 workpiece, wherein the plastic deformation, shear strength, tensile strength and/or  
8 thermal degradation temperature of the basis metal of the workpiece are not exceeded.  
9 Thus, this chemical mechanical process eliminates the potential for tempering,  
10 microcracking, stress raisers and other metallurgical damage associated with  
11 conventional machining. Since the chemical mechanical machining and surface finishing  
12 process requires little force and/or speed of contact to remove the conversion coating, the  
13 equipment's mass, complexity and cost can be significantly reduced compared to  
14 conventional machining equipment while machining precision and accuracy can be  
15 increased. Tool wear is also minimal or eliminated due to the ability to operate at  
16 reduced cutting forces, speeds and operating temperatures. These reductions allow the  
17 tool to be fashioned from non-abrasive or slightly abrasive materials that are softer than  
18 the basis metal of the workpiece. The tool can be rigid or flexible such that it conforms  
19 to the surface of the workpiece.

20 In certain applications, machining equipment can be completely eliminated,  
21 wherein mating workpieces in relative motion and load act as the tools for the removal of  
22 the conversion coatings from their opposing contact surfaces. The present invention  
23 lends itself to a very controlled rate of metal removal, and can just surface finish the  
24 workpiece, or if desired, surface finish the workpiece simultaneously with the shaping  
25 and/or sizing of the workpiece. As used herein, "surface finishing" means to remove  
26 metal from the surface of a workpiece to reduce roughness, waviness, lays and flaws.  
27 "Sizing" means to uniformly remove metal from the surface of a workpiece to bring it to  
28 its proper dimension. "Shaping" means to differentially remove metal from a workpiece  
29 to bring it to its proper geometry. "Shaping" includes drilling, sawing, boring, cutting,  
30 milling, turning, grinding, planing, and the like.

1

## BRIEF DESCRIPTION OF THE DRAWINGS

2       Figure 1 shows an example of a Falex Corporation FLC Lubricity Tester as used  
3       in examples 2 and 3.

4       Figure 2 shows another example of a Falex Corporation FLC Lubricity Tester as  
5       used in examples 4 and 5.

6

## DETAILED DESCRIPTION OF THE INVENTION

7       In lieu of traditional cooling lubricants, the chemical mechanical machining and  
8       surface finishing process disclosed herein uses water-based or organic-based active  
9       chemistry capable of reacting with the surface of a metal workpiece, common metals  
10      being iron, titanium, nickel, chromium, cobalt, tungsten, uranium, and alloys thereof. The  
11      active chemistry is first introduced into the shaping, sizing and/or surface finishing  
12      machine so as to react with the basis metal of the workpiece to form a soft conversion  
13      coating. The conversion coating is insoluble in the active chemistry in that it protects the  
14      basis metal of the workpiece from further chemical reaction with the active chemistry.  
15      The conversion coating can comprise, for example, metal oxides, metal phosphates, metal  
16      oxalates, metal sulfates, metal sulfamates, or metal chromates.

17       The formation of the conversion coating is followed by appropriate tooling  
18      contact having a relative motion between the tool and the workpiece. The relative motion  
19      can be produced by movement of the tool across a stationary work piece, by movement of  
20      the workpiece across a stationary tool, or by movement of both the tool and the  
21      workpiece. The conversion coating is rubbed off by the tool, thereby exposing fresh  
22      metal on the workpiece, allowing for the re-formation of the conversion coating on the  
23      exposed metal. The metal removal rate is proportional to the rate of reaction of the active  
24      chemistry with the metal to form the conversion coating. This reaction rate can be  
25      increased by raising the temperature and by using chemical accelerants. As the reaction  
26      rate increases, the metal removal rate will be controlled by the rate of conversion coating  
27      removal. This process of rubbing and re-formation is repeated until such time as the  
28      desired surface finishing and/or shaping and/or sizing is achieved. No metallurgical  
29      damage occurs. The machining tool requires very little force to remove the conversion  
30      coating, and thus the machine's mass, complexity and cost can be significantly reduced as

1 compared to conventional machining while machining precision and accuracy can be  
2 increased.

In the embodiments of the present invention, the relative motion and contact force of the tool and workpiece is less than the plastic deformation, shear strength and/or tensile strength of the workpiece such that thermal degradation temperatures are not produced on the workpiece. In some embodiments, the contact between the tool and the workpiece causes metal to be removed from the workpiece at a theoretical resolution of 1.0 microinch. Because of the small force applied to the workpiece from the tool, tool wear is minimized and/or eliminated. This chemical mechanical process lends itself to a very controlled rate of metal removal, and can surface finish the workpiece simultaneously with the shaping and/or sizing process.

When using this chemical mechanical machining and surface finishing process, a conversion coating is formed on the surface of the workpiece that is softer than the basis metal of the workpiece. Any active chemistry that can form such a chemical conversion coating on the surface of the workpiece is within the contemplation of the invention. Although the properties exhibited by the conversion coating produced on the basis metal are important to the successful practice of the present process, the formulation of the active chemistry is not. One such conversion coating is described in U.S. Patent No. 4,818,333, assigned to REM Chemicals, Inc., the contents of which are herein incorporated by reference.

The active chemistry preferably is capable of quickly and effectively producing, under the conditions of operation, a soft conversion coating of the basis metal. The conversion coating must further be substantially insoluble in the active chemistry and protect the basis metal from further reaction so as to ensure that metal removal occurs primarily by rubbing and re-formation rather than by dissolution.

The active chemistry can also include activators, accelerators, oxidizing agents and, in some instances, inhibitors and/or a wetting agents. It should be noted that the amount of the added ingredients may exceed solubility limits without adverse effect. The presence of an insoluble fraction may be beneficial from the standpoint of maintaining a supply of active ingredients for replenishment of the active chemistry during the course of operations.

1        In more specific terms, depending upon the metal substrate involved, the active  
2        chemistry will typically comprise phosphate salts or phosphoric acid, oxalate salts or  
3        oxalic acid, sulfamate salts or sulfamic acid, sulfate salts or sulfuric acid, chromates or  
4        chromic acid, or mixtures thereof. In addition, known activators or accelerators may be  
5        added to the active chemistry such as, but not limited to, selenium, zinc, copper,  
6        manganese, magnesium and iron phosphates, as well as inorganic and organic oxidizers,  
7        such as but not limited to persulfates, peroxides, meta-nitrobenzenes, chlorates, chlorites,  
8        nitrates and nitrites.

9        The active chemistry used in this invention can be diluted or dispersed. The  
10      diluent or dispersant will most commonly be water, but can also be a material other than  
11      water such as, but not limited to, paraffinic oil, organic liquid, silicone oil, synthetic oil,  
12      other oils, greases, or lubricants. It is also anticipated that under certain conditions it  
13      might be preferable to create the conversion coating with highly concentrated acids such  
14      as sulfuric acid, methane sulfonic acid or phosphoric acid where water is a very minor  
15      component. Furthermore, an oil or lubricant can be used as the diluent or dispersant if  
16      desirable. This is desired when, for example, sulfuric acid is used with a mineral oil.  
17      Sulfuric acid is not appreciably soluble in mineral oils, but the mineral oil will act as a  
18      dispersant, as the sulfuric acid will be dispersed, instead of dissolved, throughout the  
19      mineral oil.

20      Any tool that can remove the soft conversion coating, previously described, to  
21      expose fresh metal without exceeding the plastic deformation, shear strength and/or  
22      tensile strength of the workpiece such that thermal degradation temperatures are not  
23      produced on the workpiece is within the contemplation of the invention. Although the  
24      properties of the tool are important to the successful practice of removing the conversion  
25      coating, the tool design is not. In some cases, the tool can be the mating surface of the  
26      workpiece or a facsimile thereof. For example, the workpiece can comprise a gear, and  
27      the tool can comprise a mating gear or facsimile thereof. In another example, the  
28      workpiece can comprise a bearing race, and the tool can comprise a plurality of mating  
29      bearing balls or rollers or facsimile thereof.

30      In accordance with the present invention, the tool can be either rigid or flexible.  
31      For example, if the workpiece is the root fillet of a gear, the tool can be a rigid, slightly

1      abrasive cylinder sized such that it will contact all desired recessed areas to remove  
2      machine and/or grind lines and/or shot peening pattern. In another example, if the  
3      workpiece is the interior surface of a pipe or tube, a flexible and/or expandable tool that  
4      conforms to the workpiece can be used to improve the surface finish by removing  
5      forming lines or welding seams.

6            In one embodiment, the tool is not reactive with the active chemistry, in that the  
7      chemically induced conversion coating is not formed on the tool. Contemplated non-  
8      reactive materials that the tool can be made from are wood, paper, cloth, ceramic, plastic,  
9      polymer, elastomer, and metal, but any material that is not reactive with the active  
10     chemistry can be used. For instance, if the workpiece is a gear, the tool may be a non-  
11     reactive mating gear designed to impart the required shaping and/or surface finishing  
12     properties while running in mesh with the reactive workpiece.

13        There are a number of advantages of this chemical mechanical machining and  
14      surface finishing process. This process achieves a well-controlled metal removal rate  
15      capable of producing workpieces with high dimensional precision and accuracy. Metal  
16      can be removed with a resolution of approximately 1.0 microinch. This process also has  
17      the ability to simultaneously shape and/or size and/or surface finish, thereby reducing the  
18      gross number of processing steps. Since less force needs to be imparted to effect metal  
19      removal, a smaller, less complex and less expensive machine can be used to guide the  
20      tool. Tool speed is also much lower than that required in conventional machining, and  
21      tool costs and wear are significantly reduced.

22        Furthermore, much larger machining surface areas can be shaped and/or sized  
23      and/or surface finished at one time. This process also virtually eliminates burrs, machine  
24      lines, chatter, plastic deformation, and other surface deformities on the workpiece. A  
25      further advantage of the present process is a cool and burn-free machining process that  
26      causes little or no stress or metallurgical damage such as oxidation, phase change, stress  
27      raisers, and hardness changes. This process is usually carried out at or below the thermal  
28      degradation temperature of the metal. The low temperature also can help to eliminate the  
29      thermal deformation of delicate workpieces. In addition, structural deflections are  
30      minimized under the reduced tool pressure, which is especially important on delicate

1 workpieces, minimizing and/or eliminating structural distortion and like deformities.  
2 Finally, the precision and accuracy of the machining process is tremendously improved.

3 In another embodiment of the present invention, in-situ shaping and/or sizing  
4 and/or surface finishing of metal-to-metal contact surfaces can be accomplished. This is  
5 done by adding active chemistry, with or without a fine abrasive, to the assembled  
6 apparatus so that a conversion coating is formed on the individual reactive metal surfaces  
7 of both the workpiece and the tool. Initially the apparatus can be operated under low  
8 load, which can be gradually increased to full load conditions. The conversion coating  
9 will be removed only at the critical contact surface where the rubbing, rolling, sliding,  
10 and the like occur to expose fresh metal for further reaction. Chemical mechanical  
11 machining and surface finishing will occur only at the critical contact surfaces to remove  
12 asperities that ultimately results in a line-free or nearly line-free surface. The process can  
13 be continued, if desired, to attain a superfinished surface and/or final shaping and/or  
14 sizing of mating workpieces to their ideal geometry. Thus, each mating surface will have  
15 an ideal matching contact surface area. The in-situ process can correct minor  
16 dimensional or geometrical errors in the mating components with highly controlled  
17 precision by adjusting the active chemistry characteristics, processing time and  
18 temperature, contact loading and contact speed.

19 In-situ surface finishing or superfinishing also has other advantages, such as  
20 making it possible to finish all of the critical contact surfaces of an entire assembly, such  
21 as a transmission, that significantly reduces the cost of finishing each individual  
22 workpiece. Once a process is optimized, the surface finishing is extremely reproducible,  
23 and can be accomplished easily in a factory environment, thus eliminating the need for  
24 100% final inspection. The process can be carried out in or outside of the housing, and  
25 can concurrently final shape and/or size assembled mechanisms by removing minor  
26 dimensional/geometrical errors in the mating components. In gear and bearing  
27 applications, for example, this process reduces break-in periods, wear, scuffing, operating  
28 temperatures, friction, vibration and noise.

29 One embodiment of this in-situ process is two mating gears. The active chemistry  
30 can be introduced onto a first mating gear, forming a conversion coating on the first  
31 mating gear, while simultaneously forming a conversion coating on the second mating

1 gear. The two mating gears are contacted with a relative motion therebetween that  
2 simultaneously removes the conversion coatings from the two gears. Thus, both gears  
3 are exposed to further reaction with the active chemistry such that the conversion coating  
4 is allowed to be re-formed and removed on the gears, until a desired surface property,  
5 such as surface finishing, shaping, sizing or combination thereof, of both gears is  
6 reached. In one embodiment, the gears are located within a transmission or gearbox,  
7 wherein the contact between the gears occurs during operation of the transmission or  
8 gearbox.

9 In another embodiment, a bearing race and a plurality of mating rolling elements  
10 are provided. The active chemistry is introduced onto the bearing race, simultaneously  
11 forming a conversion coating on the bearing race and the rolling elements. The bearing  
12 race and mating rolling elements are contacted with a relative motion therebetween that  
13 simultaneously removes the conversion coatings from the bearing race and the mating  
14 rolling elements.. Thus, both the bearing race and the mating rolling elements are  
15 exposed to further reaction with the active chemistry such that the conversion coating is  
16 allowed to be re-formed and removed, until a desired surface property, such as surface  
17 finishing, shaping, sizing or combination thereof, of the bearing race and mating rolling  
18 elements is reached.

#### 19                           **Example 1- In-Situ Surface Finishing**

20 Two similar SAE 4140 carbon steel, 43-45 HRC, with nominal size of 3 inches by  
21 1 inch by  $\frac{1}{2}$  inch were used as test samples. One  $\frac{1}{2}$  inch by 3-inch surface of each test  
22 sample was traditionally mechanically polished with 180 grit silicon carbide wet/dry  
23 paper in the longitudinal direction. The starting  $R_a$  and  $R_{max}$  of Coupon 1 were 10.0  $\mu\text{in}$ .  
24 and 98.4  $\mu\text{in}$ ., respectively. The starting  $R_a$  and  $R_{max}$  of Coupon 2 were 17.6  $\mu\text{in}$ . and 167  
25  $\mu\text{in}$ ., respectively.

26 Coupon 2 was placed in a solution of 60 g/L oxalic acid and 20 g/L sodium  
27 metanitrobenzene sulfonate with its traditionally mechanically polished surface facing up.  
28 The traditionally mechanically polished surface of Coupon 1 was then placed in contact  
29 perpendicular to the traditionally mechanically polished surface of Coupon 2. Coupon 2  
30 was held in a fixed position, and Coupon 1 was moved by hand in a back-and-forth and  
31 circular motion to simulate sliding motion of critical contact surfaces. Only very light

1 pressure was applied. This was continued for approximately 10 minutes. The final  $R_a$   
2 and  $R_{max}$  of Coupon 1 at the metal-to-metal contact surface were 1.71  $\mu$ in. and 27.6  $\mu$ in.,  
3 respectively. The final  $R_a$  and  $R_{max}$  of Coupon 2 at the metal-to-metal contact surface  
4 were 1.95  $\mu$ in. and 45.4  $\mu$ in., respectively.

5 Example 1 shows that two mating workpieces fabricated from a hardened metal  
6 can be surface finished and even superfinished, and/or sized and/or shaped by wetting the  
7 surfaces with an appropriate active chemistry while lightly rubbing them together. No  
8 abrasives, high temperatures or high pressures are needed in this embodiment of the  
9 invention. The surface is shaped and/or sized and/or surface finished only where there is  
10 metal-to-metal contact.

11 When two or more gears are in mesh in a gearbox, their flanks can be shaped  
12 and/or surface finished in a similar fashion to that demonstrated in Example 1. This  
13 could be accomplished, for example, by turning the input shaft of the gearbox while  
14 applying a light load to the output shaft. The contact regions of the gear teeth would be  
15 wetted with the appropriate active chemistry either by continually flowing fresh active  
16 chemistry over the gear faces or by adding the active chemistry as a batch to the gearbox  
17 where the gears would be wetted with the active chemistry. With time the contact  
18 surfaces of the teeth will become smoother and the tooth profile will be shaped to the  
19 ideal gear geometry.

20 Similarly bearings can be shaped, sized and/or surface finished by the addition of  
21 active chemistry to the workpieces while running under very light loading. No  
22 metallurgical damage can occur as in conventional machining that uses abrasives or  
23 forces that generate high localized temperatures resulting in stress raisers or tempering  
24 leading to premature workpiece failure from friction, wear, scuffing, contact fatigue and  
25 dynamic fatigue.

26 The present invention is not limited to bearings or gears, but can be applied to any  
27 hard metal-to-metal contact that would benefit from surface finishing and/or sizing and/or  
28 shaping. The ability to shape and/or size and/or surface finish in one step increases the  
29 manufacturing efficiency for a variety of workpieces.

30 **Example 2 - Traditional Mechanical Machining Baseline with Slightly Abrasive  
31 Tool**

1 A Falex Corporation FLC Lubricity Test Ring, SAE 52100 steel, HRC 57-63,  
2 (part # 001-502-001P), is traditionally mechanically machined using a slightly abrasive  
3 (600 grit) silicon carbide wet/dry paper and SAE 30 weight detergent free motor oil as a  
4 cooling lubricant.

5 A Falex Corporation FLC Lubricity Tester is used to rotate the ring at a set RPM  
6 while a hard plastic mold (Facsimile<sup>®</sup>) of the outer ring surface holds a piece of 600 grit  
7 silicon carbide wet/dry paper against it. The Falex supplied 0-150 foot-pound Sears  
8 Craftsman torque wrench with gravity acting on it is the only load applied to the  
9 traditional mechanical grinding process. The ring is partially submerged in a reservoir of  
10 SAE 30 weight detergent free motor oil throughout the test. Figure 1 illustrates the test  
11 apparatus.

12 The test ring is cleaned, dried and weighed before and after processing on an  
13 analytical balance to determine metal removal.

14 The test ring has a weight of 22.0951 grams before processing. After a period of  
15 1.0 hour of processing at 460 RPM the weight is 22.0934 grams. This is a loss of 0.0017  
16 grams per hour that calculates to an 8.9  $\mu$ in. change in dimension.

17 **Example 3 - Chemical Mechanical Machining with Slightly Abrasive Tool**

18 A Falex Corporation FLC Lubricity Test Ring, SAE 52100 steel, HRC 57-63,  
19 (part # 001-502-001P), is chemically mechanically machined using a slightly abrasive  
20 (600 grit) silicon carbide wet/dry paper and FERROMIL<sup>®</sup> FML-575 IFP which is  
21 maintained at 6.25% by volume as the active chemistry to produce the conversion  
22 coating.

23 A Falex Corporation FLC Lubricity Tester is used to rotate the ring at a set RPM  
24 while a hard plastic mold (Facsimile<sup>®</sup>) of the outer ring surface holds a piece of 600 grit  
25 Silicon Carbide wet/dry paper against it. The Falex supplied 0-150 foot-pound Sears  
26 Craftsman torque wrench with gravity acting on it is the only load applied to the chemical  
27 mechanical process. The ring is partially submerged in FERROMIL<sup>®</sup> FML-575 IFP that  
28 is flowing through the reservoir at 6.5 milliliter/minute at ambient room temperature. See  
29 Figure 1 for image of test apparatus.

30 The test ring is cleaned, dried and weighed before and after processing on an  
31 analytical balance to determine metal removal.

The test ring has a weight of 22.1827 grams before processing. After a period of 1.0 hour of processing at 460 RPM the weight is 22.1550 grams. This is a loss of 0.0277 grams per hour that calculates to a 145.6  $\mu$ in. change in dimension. These results show that the metal removal rate is 16 times that of Example 2.

5 Examples 2 and 3 demonstrate that chemical mechanical machining on hard  
6 workpieces increases the rate of metal removal dramatically. Therefore, it is possible to  
7 shape and/or size and/or surface finish hardened metal workpieces using a slightly  
8 abrasive tool in conjunction with active chemistry. The hardness of the workpiece is  
9 inconsequential for as long as the active chemistry reacts with the surface. In fact, the  
10 rate of metal removal stays approximately the same no matter how high the hardness of  
11 the metal. In sharp contrast, in conventional machining (e.g., grinding, honing, polishing,  
12 etc.) as the workpiece's hardness increases to 60 HRC and higher, tool wear increases  
13 while metal removal rates decrease.

14 The embodiment of the invention of Examples 2 and 3 demonstrates that it is  
15 possible to shape and/or size and/or surface finish extremely hard metal surfaces using a  
16 slightly abrasive tool. This could be used, for example, to shape and/or surface finish the  
17 tooth profile of a gear. In this case, for example, a small rotating and/or vibrating tool  
18 with a light abrasive would be placed in contact with the gear flank of a gear that is  
19 continually wetted with an appropriate active chemistry. This would remove the machine  
20 and/or grind lines and be used to shape the tooth to the ideal gear geometry. This would  
21 significantly increase the service life of gears that experience bending fatigue, scuffing,  
22 and other failures while reducing gear noise and allowing for increased operating power  
23 densities.

24 The present invention is not limited to gears, but can be applied to any hard metal  
25 surface that would benefit from shaping and/or sizing and/or surface finishing. The  
26 ability to shape and surface finish in one step will increase the manufacturing efficiency  
27 of a variety of workpieces.

## 28 Example 4 - Traditional Mechanical Grinding Baseline with Non-Abrasive Plastic 29 Tool

1 A Falex Corporation FLC Lubricity Test Ring, SAE 4620 steel, HRC 58-63, (part  
2 # S-25), is finished using REM® FBC-50 (soap mixture to prevent flash rusting and  
3 thermal degradation of the tool, but not capable of producing a conversion coating).

4 A Falex Corporation FLC Lubricity Tester is used to rotate the ring at a set RPM  
5 while a piece of fixtured FERROMIL® Media # NA (Pure plastic (polyester resin)  
6 without any abrasive particles) contacts the outer ring. The plastic media was shaped to  
7 the contour of the ring to provide adequate surface contact. The Falex supplied 0-150  
8 foot-pound Sears Craftsman torque wrench with gravity acting on it is the only load  
9 applied to the traditional mechanical process. The ring is partially submerged in 1% by  
10 volume REM® FBC-50 that is flowing through the reservoir at 6.5 milliliter/minute. See  
11 Figure 2 for image of test apparatus.

12 The test ring is cleaned, dried and weighed before and after processing on an  
13 analytical balance to determine metal removal.

14 The test ring has a weight of 22.3125 grams before processing. After a period of  
15 3.0 hours at 460 RPM the weight is 22.3120 grams. This is a loss of 0.0005 grams total  
16 or 0.00017 grams per hour. Calculations show this to be a 0.9 µin. per hour change in  
17 dimension.

18 This example shows that an insignificant amount of metal is removed by the non-  
19 abrasive plastic on a hardened steel surface when no active chemistry is used.

#### 20 **Example 5 - Chemical Mechanical Machining with Non-Abrasive Plastic Tool**

21 A Falex Corporation FLC Lubricity Test Ring, SAE 4620 steel, HRC 58-63, (part  
22 # S-25), is finished using FERROMIL® VII Aero-700.

23 A Falex Corporation FLC Lubricity Tester is used to rotate the ring at a set RPM  
24 while a piece of fixtured FERROMIL® Media # NA (Pure plastic (polyester resin)  
25 without any abrasive particles) contacts the outer ring. The plastic media was shaped to  
26 the contour of the ring to provide adequate surface contact. The Falex supplied 0-150  
27 foot-pound Sears Craftsman torque wrench with gravity acting on it is the only load  
28 applied to the chemical mechanical machining process. The ring is partially submerged  
29 in FERROMIL® VII Aero-700 at 12.5 % by volume that is flowing through the reservoir  
30 at 6.5 milliliter/minute. See Figure 2 for image of test apparatus.

1       The test ring is cleaned, dried and weighed before and after processing on an  
2 analytical balance to determine metal removal.

3       The test ring has a weight of 22.1059 grams before processing. After a period of  
4 3.0 hours at 460 RPM the weight is 22.0808 grams. This is a loss of 0.0251 grams total  
5 or 0.00837 grams per hour. Calculations show this to be a 44.0  $\mu\text{in}$ . per hour change in  
6 dimension. This translates to more than 49 times the metal removal of Example 4 using  
7 non-abrasive tooling that is softer than the basis metal, and, thus, not capable of  
8 exceeding plastic deformation, shear strength or tensile strength of the basis metal.

9       Examples 4 and 5 demonstrate that significant amounts of metal can be removed  
10 from hardened steel even using a non-abrasive plastic. A tool fashioned from plastic then  
11 can be used to shape and/or size and/or surface finish a hardened steel surface when  
12 active chemistry is used. It is reasonable then that tools fashioned from harder materials  
13 will have greatly extended lives because they do not have to exert high forces or  
14 experience high localized temperatures. The tool will last longer since it can remove  
15 metal by exerting only the force needed to remove the soft conversion coating.

16      In addition, these two examples show that metal removal from very hard surfaces  
17 can be done with smaller machines than those used in conventional machining since less  
18 force needs to be exerted. The minimal structural deflections and lower temperatures  
19 under the reduced tool pressure, especially on delicate workpieces, will minimize and/or  
20 eliminate structural distortion and increase machining accuracy and precision. Since the  
21 metal removal rate is 44.0  $\mu\text{in}$ . per hour, it is apparent that the machining can have an  
22 extremely high resolution of removing metal in increments of 1.0  $\mu\text{in}$ .

### 23                   **Example 6 - Chemical Mechanical Surface Finishing**

24      The root fillet area of a gear tooth was chemically mechanically surface finished  
25 to remove the axial grind lines. A tool was created by using a section of high-speed steel  
26 wire with a diameter of 0.067 in. wrapped with 600 grit wet/dry silicon carbide paper.  
27 The tool was rotated at approximately 80 RPM. The tool was held against the root fillet  
28 area of a gear tooth (Webster, AISI 8620 carburized steel, 17-tooth gear, 8-diametral  
29 pitch and 25° pressure angle, fillet radius of approximately 0.0469 inches) with very light  
30 pressure. A solution of 60 g/L oxalic acid and 20 g/L sodium metanitrobenzene sulfonate  
31 was introduced to the contact surface drop-wise (1-2 drops per 10 seconds). This was

1 done for a period of 15 minutes. The silicon carbide paper was changed once after  
2 surface finishing for 10 minutes.

3 Examination of the surface finished workpiece at 10X magnification revealed that  
4 one or two axial grind lines remained with the majority of the surface being line free,  
5 smooth and flat. This shows that surface finishing can be executed on critical recessed  
6 surfaces using chemical mechanical surface finishing while maintaining very tight  
7 dimensional tolerances. Furthermore, machine and/or grind lines on the root fillet  
8 regions of gears can be removed by a relatively simple chemical mechanical surface  
9 finishing. Any lines created by using a light abrasive tool will be orthogonal to the axial  
10 grind lines. Therefore, tooth bending fatigue will be reduced significantly extending the  
11 gear's life.

12 The present invention is not limited to gears, but can be applied to any hard metal  
13 surface that experiences dynamic fatigue. The ability to shape and surface finish in one  
14 step will increase the manufacturing efficiency of a variety of workpieces.

15 While the apparatuses and methods of this invention have been described in terms  
16 of preferred embodiments, it will be apparent to those of skill in the art that variations  
17 may be applied to the process described herein without departing from the concept and  
18 scope of the invention. All such similar substitutes and modifications apparent to those  
19 skilled in the art are deemed to be within the scope and concept of the invention as it is  
20 set out in the following claims.

21